

## Warm-up

**Problem 1.** Check your understanding: recall their definitions, and summarise the key differences between an LP and an ILP.

**Problem 2.** Formulate MAX-CUT as an ILP.

- a) Give its LP relaxation, and suggest a randomised rounding strategy.
- b) Show that  $y^* = (1, 1, \dots, 1)$  and  $x^* = (1/2, 1/2, \dots, 1/2)$  is always an optimal solution to the LP relaxation.
- c) What does your rounding scheme become in this case?

**Problem 3.** Describe how to derandomise the 3/4-approximation algorithm for MAX-SAT given in class.

## Problem solving

**Problem 4.** Consider the KNAPSACK problem, where the goal is to select a subset of  $n$  items that fit in the knapsack (which can only store total weight  $W$ ) in order to maximise total value, where item  $i$  has value  $v_i \geq 0$  and weight  $w_i > 0$ .

- a) Give the corresponding ILP.
- b) Provide the LP relaxation, which corresponds to the *Fractional Knapsack*.
- c) Solve the LP relaxation (using, e.g., Matlab with the function `linprog`) on the following set of 10 items, with weight limit  $W = 20$ :  $(v_i, w_i) = (i^2, i)$ ,  $1 \leq i \leq 10$ . See how this changes as you vary  $W$  from 20 to 55.
- d) Compare to the solution obtained by the Greedy algorithm for Fractional Knapsack.
- e) Compare to the optimal solution of the ILP (for  $W = 20$ , then varying  $W$  as before), also obtained by solving the ILP (on Matlab, with the function `intlinprog`).

**Problem 5.** Suppose the instance of MAX-SAT has no negated “unit clause” (that is, either a clause have length at least 2, or it is a non-negated variable  $x_i$ ). Instead of setting each variable to 1 independently with probability  $1/2$  in the “obvious” randomised algorithm, do the analysis when this is done with some (fixed) probability  $p > 1/2$ .

- a) Show that this gives (in expectation) a  $\min(p, 1 - p^2)$ -approximation.
- b) Optimise the choice of  $p$  to obtain the best approximation possible.

- c) (★) Show how to remove the “no negated unit clause” assumption: let  $S \subseteq [n]$  be the set of variables such that both the unit clause  $\neg x_i$  and the unit clause  $x_i$  exist in the instance  $\phi$ , and  $T \subseteq [n]$  be the set of variables for which only the unit clause  $\neg x_i$  is in  $\phi$ . Then consider the randomised rounding scheme with sets each variable  $i$  independently to 1 with probability  $p$  if  $i \notin T$ , and with probability  $p$  (as before) otherwise, where  $p$  is the value found in the previous subquestion. Show that  $\text{opt}(\phi) \leq m - |S|$ . Use this to conclude that  $\mathbb{E}[\text{value}(\phi)] \geq p \cdot \text{opt}(\phi)$ .
- d) Compare this with the  $1 - 1/e$  approximation guarantee obtained by LP rounding in the lecture.

**Problem 6.** Show that one can also obtain (directly) an expected  $\frac{3}{4}$ -approximation to MAX-SAT by using only randomised rounding: in Algorithm 20, instead of having  $x_i \sim \text{Bern}(y_i^*)$  (independently), we will set them independently to 1 with probability

$$p_i := f(y_i^*),$$

where  $f: [0, 1] \rightarrow [0, 1]$  is any function such that  $1 - \frac{1}{4^x} \leq f(x) \leq \frac{1}{4^{1-x}}$ .

- a) Draw the plot of both upper and lower bounds on  $f$ , to see what the conditions look like (and that such functions  $f$  do exist).
- b) In what follows, we fix any such function  $f$ . With the notation of Theorem 48, show that, for any  $1 \leq j \leq m$ ,

$$\Pr[C_j \text{ not satisfied}] \leq \frac{1}{4^{z_j^*}}$$

- c) Deduce that, for any  $1 \leq j \leq m$ ,

$$\Pr[C_j \text{ satisfied}] \geq \frac{3}{4} z_j^*$$

*Hint: use concavity.*

- d) Conclude.

### Advanced

**Problem 7.** Show that one can also obtain (directly) an expected  $\frac{3}{4}$ -approximation to MAX-SAT by using only randomised rounding with a *linear* function of  $y_i^*$ : in Algorithm 20, instead of having  $x_i \sim \text{Bern } y_i^*$  (independently), set them independently to 1 with probability

$$p_i := \frac{y_i^*}{2} + \frac{1}{4}.$$